

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
3960.D16

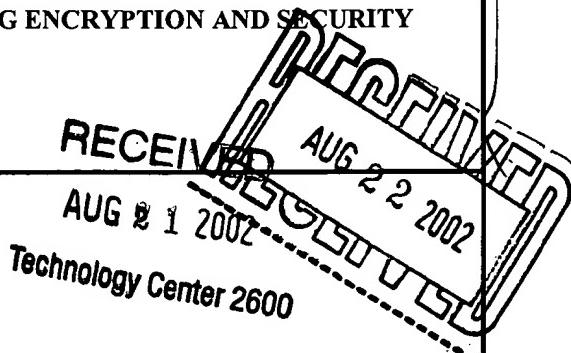
In Re Application Of: John S. HENDRICKS

Serial No.	Filing Date	Examiner	Group Art Unit
09/964,882	September 28, 2001	n/a	2611

Title: ELECTRONIC BOOK SELECTION AND DELIVERY SYSTEM HAVING ENCRYPTION AND SECURITY
FEATURES



Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231



37 CFR 1.97(b)

1. The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
 - the statement specified in 37 CFR 1.97(e);
 - OR

 the fee set forth in 37 CFR 1.17(p).

Best Available Copy

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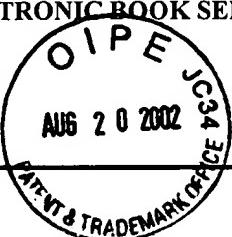
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Filing Date
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Examiner
n/a

Group Art Unit
2611

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FEATURES



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AUG 21 2002
Technology Center 2600

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of _____ is attached.
- The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
- Charge the amount of _____
- Credit any overpayment.
- Charge any additional fee required.

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I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F)

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Signature

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Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

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Signature

Sung Tae Kim, Reg. No. 45,398
Dorsey & Whitney LLP
1660 International Drive
Suite 400
McLean, VA 22102
Tel. (703) 288-5240
Fax (703) 288-5260

CC:

Dated: August, 20 2002

INFORMATION DISCLOSURE CITATION <small>(Use several sheets if necessary)</small>				ATTY DOCKET NO. 3960.D16		SERIAL NO. 09/964,882		
				John S. HENDRICKS				
				FILING September 28, 2001	GROUP 2611			
U.S. PATENT DOCUMENTS								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
1.		5,917,915	6/29/99	Hirose	380	228		
2.		6,052,717	4/18/00	Reynolds, et al.	709	218		
3.		6,034,680	3/7/00	Kessenich, et al.	345	733		
4.		5,341,426	8/23/94	Barney, et al.	713	171		
5.		5,986,690	11/16/99	Hendricks	725	760	AUG 21 2002 Technology Center 2600	
FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
1.		WO 97/23819	7/3/1997	WIPO				
2.		WO 97/22110	6/19/1997	WIPO				
3.		WO 97/22067	6/19/1997	WIPO				
4.		WO 97/22065	6/19/1997	WIPO				
5.		WO 97/22102	6/19/1997	WIPO				
OTHER DOCUMENTS (<i>Including Author, Title, Date, Pertinent Pages, Etc.</i>)								
EXAMINER				DATE CONSIDERED				

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
11.		WO 97/22109	6/19/1997	WIPO				
12.		WO 97/22112	6/19/1997	WIPO				
13.		WO 97/22079	6/19/1997	WIPO				
14.		WO 97/22049	6/19/1997	WIPO				
15.		WO 97/22100	6/19/1997	WIPO				
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